



Gary K. King
Attorney General

Attorney General of New Mexico

Registry of Charitable Organizations
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Albert J. Lama
Chief Deputy Attorney General

NM Charitable Organization Registration Statement

Registration
Tax Year

2009

Registration Status: **Registration Submitted**

Status Date: **May 20, 2011**

Registration Number: **20092731114048087**

***Charity Name:* Southwestern Association for Indian Arts, Inc.**

***FEIN:* 85-0212504**

Website Address: www.swaia.org

E-Mail Address: info@swaia.org

Fiscal Year End Month: 12 *Day:* 31

Incorporated: Yes *State:* NM *Date:* 3/15/1948

Organization Type:

Establish Date:

Other Names for Charity: SWAIA

Primary Address: SWAIA
141 E. Palace
Santa Fe, NM 87501

Mailing Address: P.O. Box 969
Santa Fe, NM 87504

Other Addresses: No Other Addresses

Phone Numbers: 505-983-5220 (Telephone)

NTEE Classifications: A20 Arts & Culture

Address: SWAIA
141 E. Palace
Santa Fe, NM 87501

Charity Individuals:

Name: King, Charles 505-983-5220

Position Title: Director

Annual Salary: \$0.00

Address: SWAIA
141 E. Palace
Santa Fe, NM 87501

Name: Larance, Steve Wikviya 505-983-5220

Position Title: Director

Annual Salary: \$0.00

Address: SWAIA
141 E. Palace
Santa Fe, NM 87501

Name: Maw, Jenny Auger 505-983-5220

Position Title: Secretary

Annual Salary: \$0.00

Address: SWAIA
141 E. Palace
Santa Fe, NM 87501

Name: Mullin, Fran 505-983-5220

Position Title: Board Chairman

Annual Salary: \$0.00

Address: SWAIA
141 E. Palace
Santa Fe, NM 87501

Name: Sandoval, Carole 505-983-5220

Position Title: Vice Chair

Annual Salary: \$0.00

Address: SWAIA
141 E. Palace
Santa Fe, NM 87501

Name: Tompkins, Hilary 505-983-5220

Position Title: Director

Annual Salary: \$0.00

Address: SWAIA
141 E. Palace
Santa Fe, NM 87501

Name: Toya, George 505-983-5220

Position Title: Director

Annual Salary: \$0.00
Address: SWAIA
141 E. Palace
Santa Fe, NM 87501

Charity Individuals:

Name: Vallo, Brian 505-983-5220

Position Title: Director

Annual Salary: \$0.00

Address: SWAIA
141 E. Palace
Santa Fe, NM 87501

Name: Wall, Steve 505-983-5220

Position Title: Director

Annual Salary: \$0.00

Address: SWAIA
141 E. Palace
Santa Fe, NM 87501

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*Individuals who are authorized
to sign checks:*

Bernstein, Bruce Executive Director

Eicher, Ardith Treasurer

*Individuals who are responsible
for fund raising:*

Bernstein, Bruce Executive Director

*Individuals who are responsible
for the distribution of funds:*

Bernstein, Bruce Executive Director

*Individuals who have custody of
financial records:*

James, Cheryl Coordinator of Finance & Administration

*Individuals who have custody of
funds:*

James, Cheryl Coordinator of Finance & Administration
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Accountant/Auditor:

Person Name: Mike, Swain
Job Title: Managing Partner
Company: Swain, MacKinnon, & Grieco, LLC
Address: 2050 Botolph Rd, Ste A
Santa Fe, NM 87505
Phone Number: 505-988-3770
E-Mail Address: mikes@santafecpas.com

*Person Authorized to Receive
Service of Process:*

Person Name: Lopez, Sharon

Job Title: Interim Coordinator of Finance & Administration

Company: SWAIA

Address: P.O. Box 969
Santa Fe, NM 87504

Phone Number: 505-983-5220

E-Mail Address: slopez@swaia.org

Professional Fundraisers:

Question and Answer:

Q: Has organization or any of its officers, directors, employees or fund raisers ever been enjoined or otherwise prohibited by a government agency/court from soliciting?

A: No

Q: Has organization or any of its officers, directors, employees or fund raisers had its registration been denied or revoked?

A: No

Q: Has organization or any of its officers, directors, employees or fund raisers ever been the subject of a proceeding regarding any solicitation or registration?

A: No None noted

Q: Has organization or any of its officers, directors, employees or fund raisers ever entered into a voluntary agreement of compliance with any government agency or in a case before a court or administrative agency?

A: No None noted

Q: Has organization or any of its officers, directors, employees or fund raisers registered with or obtained exemption from any state or agency?

A: No None noted

Q: Has organization or any of its officers, directors, employees or fund raisers solicited funds in New Mexico?

A: Yes General solicitation of contribution and sponsorship efforts from related businesses and supporting individuals.

Q: Are any of the organization's officers, directors, trustees or employees related by blood, marriage, or adoption to: (a) any other officer, director, trustee or employee OR (b) any officer, agent, or employee of any fundraising professional firm under contract to the organization OR (c) any officer, agent, or employee of a supplier or vendor firm providing goods or services to the organization?

A: No None noted

Q: Does the organization or any of its officers, directors, employees, or anyone holding a financial interest in the organization have a financial interest in a business described in (b) or (c) in previous question OR serve as an officer, director, partner or employee of a business described in (b) or (c) in previous question?

A: No None noted

Q: Have any of the organization's officers, directors, or principal executives ever been convicted of a misdemeanor or felony?

A: No None noted

Q: Does the organization receive financial support from other non-profit organizations (foundations, public charities, combined campaigns, etc.)?

A: Yes State and national arts organizations

Q: Does the organization share revenue or governance with any other non-profit organization?

A: No

Q: Does any other person or organization own a 10% or greater interest in your organization OR does your organization own a 10% or greater interest in any other organization?

A: No

Applied For Tax Exempt: Yes 1/30/1971

Granted Tax Exempt: Yes 6/30/1971

IRS Section: 501(c)(3)

Tax Exempt Ever Denied: No

Tax Exempt Ever Revoked: No

Tax Exempt Ever Modified: No

Contributions Tax Deductible: Yes

Banks:

First National Bank of Santa Fe

505-992-2000

PO Box 609

Santa Fe, NM 87504

Annual Financials

Tax Year: **2009**

IRS Document Filed: 990

<i>Total Contributions:</i>	\$614,798.00
<i>Total Gross Revenue:</i>	\$1,370,134.00

<i>Total Expenses:</i>	\$1,302,687.00
<i>Program Services Expenses:</i>	\$956,570.00
<i>Management General Expenses:</i>	\$292,760.00
<i>Fundraising Expenses:</i>	\$53,358.00

<i>Beginning of Year Net Assets:</i>	\$470,186.00
<i>End of Year Net Assets:</i>	\$563,407.00

<i>Gross Professional Fundraising Collections:</i>	\$0.00
<i>Net Professional Fundraising Collections:</i>	\$0.00

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Registration Submitted By:

Registration was submitted by a registrar using information provided by the charity organization.