



Gary K. King
Attorney General

Attorney General of New Mexico

Registry of Charitable Organizations
111 Lomas Blvd. NW, Suite 300
Albuquerque, NM 87102

(505) 222-9000
Fax: (505) 222-9006

Albert J. Lama
Chief Deputy Attorney General

NM Charitable Organization Registration Statement

Registration Tax Year

2010

Fiscal Year Period

7/31/2010 - 7/30/2011

Registration Status: **Registration Submitted**

Status Date: **November 14, 2011**

Registration Number: **20103211131853802**

Charity Name: LifeROOTS Inc.

FEIN: 85-0135073

Website Address: www.lifeROOTSnm.org

E-Mail Address: info@LifeROOTSnm.org

Fiscal Year End Month: 07 *Day:* 30

Incorporated: Yes *State:* NM *Date:* 3/24/1958

Organization Type:

Establish Date:

Other Names for Charity: No other names for charity.

Primary Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Mailing Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Other Addresses: No Other Addresses

Phone Numbers: 505-255-5501 Ext: 1801 (Telephone)

NTEE Classifications: J30 Vocational Rehabilitation

P30 Children & Youth Services

Charity Purpose: Providing people with disabilities and their families the resources and support they need to empower their lives and shape their futures.

Solicitation Methods: Supported by Trust or Grant United Way
Internet Web site

Charity Individuals: *Name:* Cates, Kathleen
Position Title: Interim President and CEO KathleenC@LifeROOTSnm.org
Annual Salary: \$80,000.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Chadwick, Maria 255-5501
Position Title: Director of Human Resources Mariac@LifeROOTSnm.org
Annual Salary: \$59,534.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Chavez, Jon 255-5501
Position Title: Business Development Officer Jonc@LifeROOTSnm.org
Annual Salary: \$47,996.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Chimarusti, John
Position Title: Board Member
Annual Salary: \$0.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Geiszler, Linda
Position Title: Board Member
Annual Salary: \$0.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Griffis, David 255-5501
Position Title: Director of Federal Contracts Davidg@LifeROOTSnm.org
Annual Salary: \$60,996.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Guerra, Carol
Position Title: Board Chairman

Annual Salary: \$0.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Charity Individuals:

Name: Hanosh, Stephanie 255-5501
Position Title: Director of Children's and Therapy Services StephanieH@LifeROOTSnm.org
Annual Salary: \$60,000.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Haynes, Bruce 505-255-5501
Position Title: Director of Finance bruceh@LifeROOTSnm.org
Annual Salary: \$62,500.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Kiwanuka, Gwen 255-5501
Position Title: Director of Community Services Gwendelynk@LifeROOTSnm.org
Annual Salary: \$62,997.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Padilla, Sylvia 255-5501
Position Title: Director of Quality assurance sylviap@LifeROOTSnm.org
Annual Salary: \$56,610.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Rypma, Cathy
Position Title: Board Treasurer
Annual Salary: \$0.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Salazar, Catherine
Position Title: Board Vice Chair
Annual Salary: \$0.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Saldyt, Myron
Position Title: Board Member
Annual Salary: \$0.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Schofield, Joan

Position Title: Board Secretary
Annual Salary: \$0.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Charity Individuals:

Name: Strickler, Leslie
Position Title: Board Member
Annual Salary: \$0.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Vaughn, Brad
Position Title: Board Member
Annual Salary: \$0.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

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*Individuals who are authorized
to sign checks:*

Cates, Kathleen	Interim President and CEO
Chadwick, Maria	Director of Human Resources
Guerra, Carol	Board Chairman
Kiwanuka, Gwen	Director of Community Services
Salazar, Catherine	Board Vice Chair

*Individuals who are responsible
for fund raising:*

Chavez, Jon	Business Development Officer
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*Individuals who are responsible
for the distribution of funds:*

Haynes, Bruce	Director of Finance
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*Individuals who have custody of
financial records:*

Haynes, Bruce	Director of Finance
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*Individuals who have custody of
funds:*

Haynes, Bruce	Director of Finance
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Accountant/Auditor:

Person Name: Peace, Donna
Job Title: Principal
Company: Ricci and Company
Address: 6200 Uptown Blvd. NE; Suite 400
Albuquerque, NM 87110
Phone Number: 338-0800
Fax Number: 338-0801

E-Mail Address: donna.peace@riccicpa.com

Person Authorized to Receive
Service of Process:

Person Name: Cates, Kathleen

Job Title: Interim President and CEO

Company: LifeROOTS, Inc.

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Phone Number: 255-5501

Fax Number: 255-9971

E-Mail Address: Kathleenc@LifeROOTSnm.org

Professional Fundraisers:

Question and Answer:

Q: Has organization or any of its officers, directors, employees or fund raisers ever been enjoined or otherwise prohibited by a government agency/court from soliciting?

A: No

Q: Has organization or any of its officers, directors, employees or fund raisers had its registration been denied or revoked?

A: No

Q: Has organization or any of its officers, directors, employees or fund raisers ever been the subject of a proceeding regarding any solicitation or registration?

A: No

Q: Has organization or any of its officers, directors, employees or fund raisers ever entered into a voluntary agreement of compliance with any government agency or in a case before a court or administrative agency?

A: No

Q: Has organization or any of its officers, directors, employees or fund raisers registered with or obtained exemption from any state or agency?

A: No

Q: Has organization or any of its officers, directors, employees or fund raisers solicited funds in New Mexico?

A: Yes Capital campaign
Place to donate on web site
Mail

Q: Are any of the organization's officers, directors, trustees or employees related by blood, marriage, or adoption to: (a) any other officer, director, trustee or employee OR (b) any officer, agent, or employee of any fundraising professional firm under contract to the organization OR (c) any officer, agent, or employee of a supplier or vendor firm providing goods or services to the organization?

A: No

Q: Does the organization or any of its officers, directors, employees, or anyone holding a financial interest in the organization have a financial interest in a business described in (b) or (c) in previous question OR serve as an officer, director, partner or employee of a business described in (b) or (c) in previous question?

A: No

Q: Have any of the organization's officers, directors, or principal executives ever been convicted of a misdemeanor or felony?

A: No

Q: Does the organization receive financial support from other non-profit organizations (foundations, public charities, combined campaigns, etc.)?

A: Yes United Way

Q: Does the organization share revenue or governance with any other non-profit organization?

A: No

Q: Does any other person or organization own a 10% or greater interest in your organization OR does your organization own a 10% or greater interest in any other organization?

A: No

Applied For Tax Exempt: Yes 2/28/1963

Granted Tax Exempt: Yes 2/28/1963

IRS Section: 501(c)(3)

Tax Exempt Ever Denied: No

Tax Exempt Ever Revoked: No

Tax Exempt Ever Modified: No

Contributions Tax Deductible: Yes

Banks:

US Bank

1-800-673-3555

P. O. Box 1800

Saint Paul, MN 55101-0800

New Mexico Bank and Trust

505-830-8100
PO Box 1048
Albuquerque, NM 87103

Annual Financials

Tax Year: 2010

IRS Document Filed: 990

Total Contributions: \$16,688.00

Total Gross Revenue: \$8,619,524.00

Total Expenses: \$8,787,839.00

Program Services Expenses: \$7,651,952.00

Management General Expenses: \$1,132,289.00

Fundraising Expenses: \$3,598.00

Beginning of Year Net Assets: \$2,779,476.00

End of Year Net Assets: \$2,611,161.00

Gross Professional Fundraising
Collections: \$0.00

Net Professional Fundraising
Collections: \$0.00

Registration Submitted By:

Haynes, Bruce
Director of Finance

505-255-5501
bruceh@rci-nm.org