



Gary K. King
Attorney General

Attorney General of New Mexico

Registry of Charitable Organizations
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Albert J. Lama
Chief Deputy Attorney General

NM Charitable Organization Registration Statement

Registration Tax Year

2012

Fiscal Year Period

1/1/2012 - 12/31/2012

Registration Status: **Registration Submitted**

Status Date: **November 21, 2013**

Registration Number: **20122711332536012**

Charity Name: Southwestern Association for Indian Arts, Inc.

FEIN: 85-0212504

Website Address: www.swaia.org

E-Mail Address: finance@swaia.org

Fiscal Year End Month: 12 *Day:* 31

Incorporated: Yes *State:* NM *Date:* 3/15/1948

Organization Type:

Establish Date:

Other Names for Charity: SWAIA
Santa Fe Indian Market

Primary Address: SWAIA
215 Washington Avenue
Santa Fe, NM 87501

Mailing Address: P.O. Box 969
Santa Fe, NM 87504

Other Addresses: No Other Addresses

Phone Numbers: 505-983-5220 (Telephone)

NTEE Classifications: A20 Arts & Culture

Charity Purpose: TO DEVELOP, SPONSOR AND PROMOTE THE SANTA FE INDIAN
MARKET AND OTHER NATIVE ARTS EVENTS

Solicitation Methods: E-Mail
Internet
Mail
Special Events Indian Market, and Winter Indian Market

Charity Individuals: Name: Colt, Stockton 505-983-5220

Position Title: Board Chairman

Annual
Compensation: \$0.00
Address: SWAIA
215 Washington Avenue
Santa Fe, NM 87501

Name: Erpelding, Mary 505-983-5220

Position Title: Finance Director/Human
Resources Finance@swaia.org

Annual
Compensation: \$66,560.00
Address: P.O. Box 969
Santa Fe, NM 87504

Name: Folwell, Susan 505-983-5220

Position Title: Director

Annual
Compensation: \$0.00
Address: SWAIA
215 Washington Avenue
Santa Fe, NM 87501

Name: Fragua, Roger 505-983-5220

Position Title: Director

Annual
Compensation: \$0.00
Address: SWAIA
215 Washington Avenue
Santa Fe, NM 87501

Name: Kiger, Stephanie Pho-Poe 505-983-5220

Position Title: Secretary

Annual
Compensation: \$0.00
Address: SWAIA
215 Washington Avenue
Santa Fe, NM 87501

Charity Individuals:

Name: Kimball, Jenny

505-983-5220

Position Title: Director

Annual

Compensation: \$0.00

Address: SWAIA

215 Washington Avenue

Santa Fe, NM 87501

Name: King, Charles

505-983-5220

Position Title: Director

Annual

Compensation: \$0.00

Address: SWAIA

215 Washington Avenue

Santa Fe, NM 87501

Name: Maybee, Dallin

505-983-5220

Position Title: Treasurer

Annual

Compensation: \$0.00

Address: SWAIA

215 Washington Avenue

Santa Fe, NM 87501

Name: Porsild, Charlene

505-983-5220

Position Title: Chief Development Officer

cporsild@swaia.org

Annual

Compensation: \$90,000.00

Address: P.O. Box 969

Santa Fe, NM 87504

Name: Poston, L. Stephine

505-983-5220

Position Title: Director

Annual

Compensation: \$0.00

Address: SWAIA

141 E. Palace

Santa Fe, NM 87504

Name: Pruitt, Pat

505-983-5220

Position Title: Director

Annual

Compensation: \$0.00

Address: SWAIA

141 E. Palace

Santa Fe, NM 87501

Name: Torres-Nez, John

505-983-5220

Position Title: Chief Operating Officer

jtorresnez@swaia.org

Charity Individuals:	Name: Wall, Stephen	505-983-5220
	Position Title: Vice Chair	stephenwall@dishmail.net

*Individuals who are authorized
to sign checks:*

*Individuals who are responsible
for fund raising:*

*Individuals who are responsible
for the distribution of funds:*

Individuals who have custody of financial records:

Individuals who have custody of funds:

E-Mail Address: mikes@santafecpas.com

*Person Authorized to Receive
Service of Process:*

Person Name: Lopez, Sharon
Job Title: Office Manager/Finance Assistant
Company: SWAIA
Address: P.O. Box 969
Santa Fe, NM 87504
Phone Number: 505-983-5220
E-Mail Address: slopez@swaia.org

Professional Fundraisers:

Question and Answer:

Q: Has organization or any of its officers, directors, employees or fund raisers ever been enjoined or otherwise prohibited by a government agency/court from soliciting?

A: No

Q: Has organization or any of its officers, directors, employees or fund raisers had its registration been denied or revoked?

A: No

Q: Has organization or any of its officers, directors, employees or fund raisers ever been the subject of a proceeding regarding any solicitation or registration?

A: No None noted

Q: Has organization or any of its officers, directors, employees or fund raisers ever entered into a voluntary agreement of compliance with any government agency or in a case before a court or administrative agency?

A: No None noted

Q: Has organization or any of its officers, directors, employees or fund raisers registered with or obtained exemption from any state or agency?

A: No None noted

Q: Has organization or any of its officers, directors, employees or fund raisers solicited funds in New Mexico?

A: Yes General solicitation of contribution and sponsorship efforts from related businesses and supporting individuals.

Q: Are any of the organization's officers, directors, trustees or employees related by blood, marriage, or adoption to: (a) any other officer, director, trustee or employee OR (b) any officer, agent, or employee of any fundraising professional firm under contract to the organization OR (c) any officer, agent, or employee of a supplier or vendor firm providing goods or services to the organization?

A: No None noted

Q: Does the organization or any of its officers, directors, employees, or anyone holding a financial interest in the organization have a financial interest in a business described in (b) or (c) in previous question OR serve as an officer, director, partner or employee of a business described in (b) or (c) in previous question?

A: No None noted

Q: Have any of the organization's officers, directors, or principal executives ever been convicted of a misdemeanor or felony?

A: No None noted

Q: Does the organization receive financial support from other non-profit organizations (foundations, public charities, combined campaigns, etc.)?

A: Yes State and national arts organizations

Q: Does the organization share revenue or governance with any other non-profit organization?

A: No

Q: Does any other person or organization own a 10% or greater interest in your organization OR does your organization own a 10% or greater interest in any other organization?

A: No

Applied For Tax Exempt: Yes 1/30/1971

Granted Tax Exempt: Yes 6/30/1971

IRS Section: 501(c)(3)

Tax Exempt Ever Denied: No

Tax Exempt Ever Revoked: No

Tax Exempt Ever Modified: No

Contributions Tax Deductible: Yes

Banks:

First National Bank of Santa Fe

505-992-2000

PO Box 609

Santa Fe, NM 87504

Annual Financials

Tax Year: 2012

IRS Document Filed: 990

Total Contributions: \$829,691.00
Total Gross Revenue: \$1,526,759.00

Total Expenses: \$1,465,196.00
Program Services Expenses: \$940,773.00
Management General Expenses: \$297,586.00
Fundraising Expenses: \$226,837.00

Beginning of Year Net Assets: \$380,920.00
End of Year Net Assets: \$480,613.00

*Gross Professional Fundraising
Collections:* \$0.00
*Net Professional Fundraising
Collections:* \$0.00

Registration Submitted By:

Erpelding-Chacon, Mary
Finance Director

505-983-5220
finance@swaia.org