



Gary K. King  
Attorney General

# Attorney General of New Mexico

Registry of Charitable Organizations  
111 Lomas Blvd. NW, Suite 300  
Albuquerque, NM 87102

(505) 222-9000  
Fax: (505) 222-9006

Albert J. Lama  
Chief Deputy Attorney General

## NM Charitable Organization Registration Statement

Registration Tax Year

**2012**

Fiscal Year Period

**7/1/2012 - 6/30/2013**

*Registration Status:* **Registration Submitted**

*Status Date:* **February 10, 2014**

*Registration Number:* **20123231404147849**

**Charity Name:** LifeROOTS Inc.

**FEIN:** 85-0135073

*Website Address:* www.lifeROOTSnm.org

*E-Mail Address:* info@LifeROOTSnm.org

*Fiscal Year End Month:* 06      *Day:* 30

*Incorporated:* Yes      *State:* NM      *Date:* 3/24/1958

*Organization Type:*

*Establish Date:*

*Other Names for Charity:* No other names for charity.

*Primary Address:* 1111 Menaul Blvd. NE  
Albuquerque, NM 87107

*Mailing Address:* 1111 Menaul Blvd. NE  
Albuquerque, NM 87107

*Other Addresses:* No Other Addresses

*Phone Numbers:* 505-255-5501 Ext: 1800 (Telephone)

*NTEE Classifications:* J30 Vocational Rehabilitation

P30 Children & Youth Services

*Charity Purpose:* Providing people with disabilities and their families the resources and support they need to empower their lives and shape their futures.

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*Solicitation Methods:* Supported by Trust or Grant      United Way  
Internet      Web site  
Mail      quarterly newsletters

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*Charity Individuals:*

<i>Name:</i> Cates, Kathleen	505-255-5501
<i>Position Title:</i> President and CEO	KathleenC@LifeROOTSnm.org
<i>Annual Compensation:</i> \$90,000.00	
<i>Address:</i> 1111 Menaul Blvd. NE Albuquerque, NM 87107	
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<i>Name:</i> Chadwick, Maria	255-5501
<i>Position Title:</i> Director of Human Resources	Mariac@LifeROOTSnm.org
<i>Annual Compensation:</i> \$61,000.00	
<i>Address:</i> 1111 Menaul Blvd. NE Albuquerque, NM 87107	
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<i>Name:</i> Clithero, Amy	
<i>Position Title:</i> Board Member	
<i>Annual Compensation:</i> \$0.00	
<i>Address:</i> 1111 Menaul Blvd. NE Albuquerque, NM 87107	
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<i>Name:</i> Coca, Grace	255-5501
<i>Position Title:</i> Director of Children's and Therapy Services	GraceC@LifeROOTSnm.org
<i>Annual Compensation:</i> \$59,000.00	
<i>Address:</i> 1111 Menaul Blvd. NE Albuquerque, NM 87107	
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<i>Name:</i> Eberhardt, Trudy	255-5501 x1801
<i>Position Title:</i> Director of Finance	Trudye@LifeROOTSNM.org
<i>Annual Compensation:</i> \$62,500.00	
<i>Address:</i> 1111 Menaul Blvd. NE Albuquerque, NM 87107	
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<i>Name:</i> Geiszler, Linda	
<i>Position Title:</i> Board Member	

*Annual*  
*Compensation:* \$0.00  
*Address:* 1111 Menaul Blvd. NE  
Albuquerque, NM 87107

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*Charity Individuals:*

*Name:* Guerra, Carol

*Position Title:* Vice Chair

*Annual*  
*Compensation:* \$0.00  
*Address:* 1111 Menaul Blvd. NE  
Albuquerque, NM 87107

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*Name:* Kiwanuka, Gwen 255-5501

*Position Title:* Director of Community Services Gwendelynk@LifeROOTSnm.org

*Annual*  
*Compensation:* \$64,000.00  
*Address:* 1111 Menaul Blvd. NE  
Albuquerque, NM 87107

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*Name:* Mulloy, Patrick 255-5501

*Position Title:* Director of Contracts PatrickM@LifeROOTSnm.org

*Annual*  
*Compensation:* \$60,000.00  
*Address:* 1111 Menaul Blvd. NE  
Albuquerque, NM 87107

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*Name:* Padilla, Sylvia 255-5501

*Position Title:* Director of Quality assurance sylviap@LifeROOTSnm.org

*Annual*  
*Compensation:* \$59,000.00  
*Address:* 1111 Menaul Blvd. NE  
Albuquerque, NM 87107

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*Name:* Salazar, Catherine

*Position Title:* Board Chair

*Annual*  
*Compensation:* \$0.00  
*Address:* 1111 Menaul Blvd. NE  
Albuquerque, NM 87107

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*Name:* Saldyt, Sal

*Position Title:* Board Treasurer

*Annual*  
*Compensation:* \$0.00  
*Address:* 1111 Menaul Blvd. NE  
Albuquerque, NM 87107

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*Name:* Schofield, Joan

*Position Title:* Board Member

*Annual  
Compensation:* \$0.00  
*Address:* 1111 Menaul Blvd. NE  
Albuquerque, NM 87107

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*Charity Individuals:*

*Name:* Strickler, Leslie

*Position Title:* Board Secretary

*Annual  
Compensation:* \$0.00  
*Address:* 1111 Menaul Blvd. NE  
Albuquerque, NM 87107

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*Name:* Vaughn, Brad

*Position Title:* Board Member

*Annual  
Compensation:* \$0.00  
*Address:* 1111 Menaul Blvd. NE  
Albuquerque, NM 87107

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*Name:* Vigil, Jeanne

*Position Title:* Board Member

*Annual  
Compensation:* \$0.00  
*Address:* 1111 Menaul Blvd. NE  
Albuquerque, NM 87107

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*Individuals who are authorized  
to sign checks:*

Cates, Kathleen	President and CEO
Chadwick, Maria	Director of Human Resources
Guerra, Carol	Vice Chair
Kiwanuka, Gwen	Director of Community Services
Salazar, Catherine	Board Chair

*Individuals who are responsible  
for fund raising:*

Cates, Kathleen	President and CEO
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*Individuals who are responsible  
for the distribution of funds:*

Cates, Kathleen	President and CEO
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*Individuals who have custody of  
financial records:*

Eberhardt, Trudy	Director of Finance
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*Individuals who have custody of  
funds:*

Salazar, Catherine	Board Chair
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*Accountant/Auditor:*

*Person Name:* Lewis, Barbara

*Job Title:* Audit Shareholder

*Company:* Atkinson & Co.

*Address:* 6501 Americas Pkwy NE  
Suite 700  
Albuquerque, NM 87110

*Phone Number:* 843-6492

*Fax Number:* 843-6817

*E-Mail Address:* blewis@atkinsoncpa.com

*Person Authorized to Receive  
Service of Process:*

*Person Name:* Cates, Kathleen

*Job Title:* President and CEO

*Company:* LifeROOTS, Inc.

*Address:* 1111 Menaul Blvd. NE  
Albuquerque, NM 87107

*Phone Number:* 255-5501

*Fax Number:* 255-9971

*E-Mail Address:* Kathleenc@LifeROOTSnm.org

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*Professional Fundraisers:*

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*Question and Answer:*

*Q: Has organization or any of its officers, directors, employees or fund raisers ever been enjoined or otherwise prohibited by a government agency/court from soliciting?*

*A: No*

*Q: Has organization or any of its officers, directors, employees or fund raisers had its registration been denied or revoked?*

*A: No*

*Q: Has organization or any of its officers, directors, employees or fund raisers ever been the subject of a proceeding regarding any solicitation or registration?*

*A: No*

*Q: Has organization or any of its officers, directors, employees or fund raisers ever entered into a voluntary agreement of compliance with any government agency or in a case before a court or administrative agency?*

*A: No*

*Q: Has organization or any of its officers, directors, employees or fund raisers registered with or obtained exemption from any state or agency?*

*A: No*

Q: Has organization or any of its officers, directors, employees or fund raisers solicited funds in New Mexico?

A: Yes           Capital campaign  
                  Place to donate on web site  
                  Mail

Q: Are any of the organization's officers, directors, trustees or employees related by blood, marriage, or adoption to: (a) any other officer, director, trustee or employee OR (b) any officer, agent, or employee of any fundraising professional firm under contract to the organization OR (c) any officer, agent, or employee of a supplier or vendor firm providing goods or services to the organization?

A: No

Q: Does the organization or any of its officers, directors, employees, or anyone holding a financial interest in the organization have a financial interest in a business described in (b) or (c) in previous question OR serve as an officer, director, partner or employee of a business described in (b) or (c) in previous question?

A: Yes           Carol Guerra, board member, is an owner of All-Star Insurance, 2625 Pennsylvania NE, Ste 710, Albuquerque, NM 87110.  
                  Jeanne Vigil, board member, is an employee/Vice-President of US Bank, , 7900 Jefferson St. NE, Albuquerque, NM 87109.

Q: Have any of the organization's officers, directors, or principal executives ever been convicted of a misdemeanor or felony?

A: No

Q: Does the organization receive financial support from other non-profit organizations (foundations, public charities, combined campaigns, etc.)?

A: Yes           United Way

Q: Does the organization share revenue or governance with any other non-profit organization?

A: No

Q: Does any other person or organization own a 10% or greater interest in your organization OR does your organization own a 10% or greater interest in any other organization?

A: No

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Applied For Tax Exempt: Yes           2/28/1963

Granted Tax Exempt: Yes           2/28/1963

IRS Section: 501(c)(3)

Tax Exempt Ever Denied: No

Tax Exempt Ever Revoked: No

Tax Exempt Ever Modified: No

Contributions Tax Deductible: Yes  
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*Banks:*

US Bank  
1-800-673-3555  
P. O. Box 1800  
Saint Paul, MN 55101-0800

Bank of America  
1-888-852-5000  
P.O. Box 15284  
Wilmington, DE 19850

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**Annual Financials**

**Tax Year:** 2012

*IRS Document Filed:* 990

*Total Contributions:* \$146,692.00

*Total Gross Revenue:* \$6,685,407.00

*Total Expenses:* \$6,785,386.00

*Program Services Expenses:* \$5,907,207.00

*Management General Expenses:* \$819,547.00

*Fundraising Expenses:* \$58,632.00

*Beginning of Year Net Assets:* \$1,846,937.00

*End of Year Net Assets:* \$1,753,419.00

*Gross Professional Fundraising  
Collections:* \$0.00

*Net Professional Fundraising  
Collections:* \$0.00

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**Registration Submitted By:**

Registration was submitted by a registrar using information provided by the charity organization.