



Hector Balderas
Attorney General

Attorney General of New Mexico

Registry of Charitable Organizations
111 Lomas Blvd. NW, Suite 300
Albuquerque, NM 87102

(505) 222-9000
Fax: (505) 222-9006

NM Charitable Organization Registration Statement

Registration Tax Year

2014

Fiscal Year Period

7/1/2014 - 6/30/2015

Registration Status: **Registration Amended**

Status Date: **February 23, 2016**

Registration Number: **20143211605455457**

Charity Name: LifeROOTS Inc.

FEIN: 85-0135073

Website Address: www.lifeROOTSnm.org

E-Mail Address: info@LifeROOTSnm.org

Fiscal Year End Month: 06 *Day:* 30

Incorporated: Yes *State:* NM *Date:* 3/24/1958

Organization Type:

Establish Date:

Other Names for Charity: LifeROOTS

Primary Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Mailing Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Other Addresses: No Other Addresses

Phone Numbers: 505-255-5501 Ext: 1800 (Telephone)

NTEE Classifications: J30 Vocational Rehabilitation

P30 Children & Youth Services

Charity Purpose: Providing people with disabilities and their families the resources and support they need to empower their lives and shape their futures.

Solicitation Methods: Supported by Trust or Grant United Way
Internet Web site
Mail quarterly newsletters
Supported by Trust or Grant Anderson Charitable Foundation

Charity Individuals: *Name:* Cates, Kathleen 505-255-5501
Position Title: President and CEO KathleenC@LifeROOTSnm.org
Annual Compensation: \$100,000.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Coca, Grace 255-5501
Position Title: Director of Children's and Therapy Services GraceC@LifeROOTSnm.org
Annual Compensation: \$57,500.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Denslow, Thomas 255-5501
Position Title: Director of Human Resources ThomasD@LifeROOTSnm.org
Annual Compensation: \$58,000.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Eberhardt, Trudy 255-5501 x1801
Position Title: Director of Finance Trudye@LifeROOTSNM.org
Annual Compensation: \$65,000.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Geiszler, Linda
Position Title: Board Member
Annual Compensation: \$0.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Guerra, Carol
Position Title: Past Chair

Annual
Compensation: \$0.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Charity Individuals:

Name: Iliff, Sue

Position Title: Board Member

Annual
Compensation: \$0.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Kiwanuka, Gwen 255-5501

Position Title: Director of Community Services Gwendolynk@LifeROOTSnm.org

Annual
Compensation: \$64,000.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Molina, Matthew 255-5501

Position Title: Director of Contracts MatthewM@LifeROOTSnm.org

Annual
Compensation: \$57,000.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Padilla, Sylvia 255-5501

Position Title: Director of Quality assurance sylviap@LifeROOTSnm.org

Annual
Compensation: \$37,000.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Salazar, Catherine

Position Title: Board Chair

Annual
Compensation: \$0.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Saldyt, Myron "Sal"

Position Title: Board Member

Annual
Compensation: \$0.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Schofield, Joan

Position Title: Board Member

*Annual
Compensation:* \$0.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Charity Individuals:

Name: Strickler, Leslie

Position Title: Board Secretary

*Annual
Compensation:* \$0.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Tatz, Jill

Position Title: Board Member

*Annual
Compensation:* \$0.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Vaughn, Brad

Position Title: Board Member

*Annual
Compensation:* \$0.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Vigil, Jeanne

Position Title: Board Treasurer

*Annual
Compensation:* \$0.00
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

*Individuals who are authorized
to sign checks:*

Cates, Kathleen	President and CEO
Coca, Grace	Director of Children's and Therapy Services
Guerra, Carol	Past Chair
Kiwanuka, Gwen	Director of Community Services
Schofield, Joan	Board Member

*Individuals who are responsible
for fund raising:*

Cates, Kathleen	President and CEO
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*Individuals who are responsible
for the distribution of funds:*

Cates, Kathleen	President and CEO
Eberhardt, Trudy	Director of Finance

Individuals who have custody of
financial records: Eberhardt, Trudy Director of Finance

Individuals who have custody of
funds: Salazar, Catherine Board Chair

Accountant/Auditor:

Person Name: Lewis, Barbara
Job Title: Audit Shareholder
Company: Atkinson & Co.
Address: 6501 Americas Pkwy NE
Suite 700
Albuquerque, NM 87110
Phone Number: 843-6492
Fax Number: 843-6817
E-Mail Address: blewis@atkinsoncpa.com

*Person Authorized to Receive
Service of Process:*

Person Name: Cates, Kathleen
Job Title: President and CEO
Company: LifeROOTS, Inc.
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107
Phone Number: 255-5501
Fax Number: 255-9971
E-Mail Address: Kathleenc@LifeROOTSnm.org

Professional Fundraisers:

Question and Answer:

Q: Has organization or any of its officers, directors, employees or fund raisers ever been enjoined or otherwise prohibited by a government agency/court from soliciting?

A: No

Q: Has organization or any of its officers, directors, employees or fund raisers had its registration been denied or revoked?

A: No

Q: Has organization or any of its officers, directors, employees or fund raisers ever been the subject of a proceeding regarding any solicitation or registration?

A: No

Q: *Has organization or any of its officers, directors, employees or fund raisers ever entered into a voluntary agreement of compliance with any government agency or in a case before a court or administrative agency?*

A: No

Q: *Has organization or any of its officers, directors, employees or fund raisers registered with or obtained exemption from any state or agency?*

A: No

Q: *Has organization or any of its officers, directors, employees or fund raisers solicited funds in New Mexico?*

A: Yes Capital campaign
 Place to donate on web site
 Mail

Q: *Are any of the organization's officers, directors, trustees or employees related by blood, marriage, or adoption to: (a) any other officer, director, trustee or employee OR (b) any officer, agent, or employee of any fundraising professional firm under contract to the organization OR (c) any officer, agent, or employee of a supplier or vendor firm providing goods or services to the organization?*

A: No

Q: *Does the organization or any of its officers, directors, employees, or anyone holding a financial interest in the organization have a financial interest in a business described in (b) or (c) in previous question OR serve as an officer, director, partner or employee of a business described in (b) or (c) in previous question?*

A: Yes Carol Guerra, board member, is an owner of All-Star Insurance, 2625 Pennsylvania NE, Ste 710, Albuquerque, NM 87110.
 Jeanne Vigil, board member, is an employee/Vice-President of US Bank, , 7900 Jefferson St. NE, Albuquerque, NM 87109.

Q: *Have any of the organization's officers, directors, or principal executives ever been convicted of a misdemeanor or felony?*

A: No

Q: *Does the organization receive financial support from other non-profit organizations (foundations, public charities, combined campaigns, etc.)?*

A: Yes United Way
 Anderson Charitable Foundation

Q: *Does the organization share revenue or governance with any other non-profit organization?*

A: No

Q: *Does any other person or organization own a 10% or greater interest in your organization OR does your organization own a 10% or greater interest in any other organization?*

A: No

Applied For Tax Exempt: Yes 2/28/1963

Granted Tax Exempt: Yes 2/28/1963
IRS Section: 501(c)(3)
Tax Exempt Ever Denied: No
Tax Exempt Ever Revoked: No
Tax Exempt Ever Modified: No
Contributions Tax Deductible: Yes

Banks:

US Bank
1-800-673-3555
P. O. Box 1800
Saint Paul, MN 55101-0800

Bank of America
1-888-852-5000
P.O. Box 15284
Wilmington, DE 19850

Annual Financials

Tax Year: 2014

IRS Document Filed: 990

Total Contributions: \$948,514.00

Total Gross Revenue: \$7,330,578.00

Total Expenses: \$6,498,262.00

Program Services Expenses: \$5,565,206.00

Management General Expenses: \$888,905.00

Fundraising Expenses: \$44,151.00

Beginning of Year Net Assets: \$1,449,827.00

End of Year Net Assets: \$2,279,798.00

Gross Professional Fundraising
Collections: \$0.00

Net Professional Fundraising
Collections: \$0.00

Registration Submitted By:

Eberhardt, Trudy
Director of Finance

505-255-5501
trudye@liferootsnm.org