



Hector Balderas
Attorney General

Attorney General of New Mexico

Registry of Charitable Organizations
111 Lomas Blvd. NW, Suite 300
Albuquerque, NM 87102

(505) 222-9000
Fax: (505) 222-9006

NM Charitable Organization Registration Statement

Registration Tax Year

2015

Fiscal Year Period

1/1/2015 - 12/31/2015

Registration Status: **Registration Submitted**

Status Date: **June 30, 2016**

Registration Number: **20152711618236149**

***Charity Name:* Southwestern Association for Indian Arts, Inc.**

***FEIN:* 85-0212504**

Website Address: www.swaia.org

E-Mail Address: finance@swaia.org

Fiscal Year End Month: 12 *Day:* 31

Incorporated: Yes *State:* NM *Date:* 3/15/1948

Organization Type:

Establish Date:

Other Names for Charity: SWAIA
Santa Fe Indian Market
Indian Market

Primary Address: SWAIA
215 Washington Avenue
Santa Fe, NM 87501

Mailing Address: P.O. Box 969
Santa Fe, NM 87504

Other Addresses: No Other Addresses

Phone Numbers: 505-983-5220 (Telephone)

Charity Purpose: TO DEVELOP, SPONSOR AND PROMOTE THE SANTA FE INDIAN
MARKET AND OTHER NATIVE ARTS EVENTS

Solicitation Methods: E-Mail
Internet
Mail
Special Events Indian Market, and Winter Indian Market
Newspaper/Magazine Ads
Radio/TV Appeals

Charity Individuals: Name: Bahti, Mark 505-983-5220

Position Title: Director

Annual

Compensation: \$0.00

Address: P.O. Box 969
Santa Fe, NM 87504

Name: Chavez, Lisa 505-983-5220

Position Title: Director

Annual

Compensation: \$0.00

Address: P.O. Box 969
Santa Fe, NM 87504

Name: Folwell, Susan 505-983-5220

Position Title: Director

Annual

Compensation: \$0.00

Address: SWAIA
215 Washington Avenue
Santa Fe, NM 87501

Name: Fragua, Roger 505-983-5220

Position Title: Vice Chair

Annual

Compensation: \$0.00

Address: SWAIA
215 Washington Avenue
Santa Fe, NM 87501

Name: Gillespie, Yvonne 505-983-5220

Position Title: Bookkeeping finance@swaia.org

Annual

Compensation: \$45,760.00

Address: P.O. Box 969
Santa Fe, NM 87504

Charity Individuals:

Name: Hanley, Andrea 505-983-5220

Position Title: Director

Annual
Compensation: \$0.00

Address: P.O. Box 969
Santa Fe, NM 87504

Name: Jones, John D 505-983-5220

Position Title: Chief Development Officer JJones@swaia.org

Annual
Compensation: \$105,000.00

Address: P.O. Box 969
Santa Fe, NM 87504

Name: Kirk, Elizabeth 505-314-3268

Position Title: Director

Annual
Compensation: \$0.00

Address: P.O. Box 969
Santa Fe, NM 87504

Name: Masiel, Andrew

Position Title: Director

Annual
Compensation: \$0.00

Address: P.O. Box 969
Santa Fe, NM 87504

Name: Maybee, Dallin 505-983-5220

Position Title: Chief Operating Officer dmaybee@hotmail.com

Annual
Compensation: \$105,000.00

Address: P.O. Box 969
Santa Fe, NM 87504

Name: Pettus, Elizabeth 505-983-5220

Position Title: Board Chair

Annual
Compensation: \$0.00

Address: P.O. Box 969
Santa Fe, NM 87504

Name: Rabbit, Traci 505-983-5220

Position Title: Director

Annual
Compensation: \$0.00

Address: SWAIA

215 Washington Avenue
Santa Fe, NM 87501

Charity Individuals:

Name: Sayre, Lloyd K.

505-983-5220

Position Title: Treasurer

Annual

Compensation: \$0.00

Address: P.O. Box 969

Santa Fe, NM 87504

Name: Teegarden, Thomas

505-983-5220

Position Title: Director

Annual

Compensation: \$0.00

Address: P.O. Box 969

Santa Fe, NM 87504

Name: Toya, Dominique

505-983-5220

Position Title: Director

Annual

Compensation: \$0.00

Address: P.O. Box 969

Santa Fe, NM 87504

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*Individuals who are authorized
to sign checks:*

Jones, John D

Chief Development Officer

Maybee, Dallin

Chief Operating Officer

Pettus, Elizabeth

Board Chair

Sayre, Lloyd K.

Treasurer

*Individuals who are responsible
for fund raising:*

Jones, John D

Chief Development Officer

*Individuals who are responsible
for the distribution of funds:*

Jones, John D

Chief Development Officer

Maybee, Dallin

Chief Operating Officer

Sayre, Lloyd K.

Treasurer

*Individuals who have custody of
financial records:*

Gillespie, Yvonne

Bookkeeping

Jones, John D

Chief Development Officer

Maybee, Dallin

Chief Operating Officer

*Individuals who have custody of
funds:*

Gillespie, Yvonne

Bookkeeping

Individuals who have custody of
funds:

Maybe, Dallin

Chief Operating Officer

Accountant/Auditor:

Person Name: Lisa, Todd

Job Title: Auditor

Company: Moss Adams LLP

Address: 6565 Americas Parkway NE
Suite 600
Albuquerque, NM 87110

Phone Number: (505) 837-7653

E-Mail Address: lisa.todd@mossadams.com

*Person Authorized to Receive
Service of Process:*

Person Name: Gillespie, Yvonne

Job Title: Bookkeeper

Company: SWAIA

Address: P.O. Box 969
Santa Fe, NM 87504

Phone Number: 505-983-5220

E-Mail Address: finance@swaia.org

Professional Fundraisers:

Question and Answer:

Q: Has organization or any of its officers, directors, employees or fund raisers ever been enjoined or otherwise prohibited by a government agency/court from soliciting?

A: No

Q: Has organization or any of its officers, directors, employees or fund raisers had its registration been denied or revoked?

A: No

Q: Has organization or any of its officers, directors, employees or fund raisers ever been the subject of a proceeding regarding any solicitation or registration?

A: No None noted

Q: Has organization or any of its officers, directors, employees or fund raisers ever entered into a voluntary agreement of compliance with any government agency or in a case before a court or administrative agency?

A: No None noted

Q: *Has organization or any of its officers, directors, employees or fund raisers registered with or obtained exemption from any state or agency?*

A: No None noted

Q: *Has organization or any of its officers, directors, employees or fund raisers solicited funds in New Mexico?*

A: Yes General solicitation of contribution and sponsorship efforts from related businesses and supporting individuals.

Q: *Are any of the organization's officers, directors, trustees or employees related by blood, marriage, or adoption to: (a) any other officer, director, trustee or employee OR (b) any officer, agent, or employee of any fundraising professional firm under contract to the organization OR (c) any officer, agent, or employee of a supplier or vendor firm providing goods or services to the organization?*

A: No None noted

Q: *Does the organization or any of its officers, directors, employees, or anyone holding a financial interest in the organization have a financial interest in a business described in (b) or (c) in previous question OR serve as an officer, director, partner or employee of a business described in (b) or (c) in previous question?*

A: No None noted

Q: *Have any of the organization's officers, directors, or principal executives ever been convicted of a misdemeanor or felony?*

A: No None noted

Q: *Does the organization receive financial support from other non-profit organizations (foundations, public charities, combined campaigns, etc.)?*

A: Yes State and national arts organizations

Q: *Does the organization share revenue or governance with any other non-profit organization?*

A: No

Q: *Does any other person or organization own a 10% or greater interest in your organization OR does your organization own a 10% or greater interest in any other organization?*

A: No

Applied For Tax Exempt: Yes 1/30/1971

Granted Tax Exempt: Yes 6/30/1971

IRS Section: 501(c)(3)

Tax Exempt Ever Denied: No

Tax Exempt Ever Revoked: No

Tax Exempt Ever Modified: No

Contributions Tax Deductible: Yes

Banks:

First National Bank of Santa Fe
505-992-2000
PO Box 609
Santa Fe, NM 87504

Century Bank
505-995-1238
100 S. Federal Place
Santa Fe, NM 87501

Annual Financials

Tax Year: **2015**

IRS Document Filed: 990

Total Contributions: \$658,870.00

Total Gross Revenue: \$1,328,007.00

Total Expenses: \$1,245,334.00

Program Services Expenses: \$505,472.00

Management General Expenses: \$536,534.00

Fundraising Expenses: \$203,328.00

Beginning of Year Net Assets: \$362,841.00

End of Year Net Assets: \$453,055.00

*Gross Professional Fundraising
Collections:* \$0.00

*Net Professional Fundraising
Collections:* \$0.00

Registration Submitted By:

Gillespie, Yvonne

Bookkeeping

505-983-5220

finance@swaia.org