



Hector Balderas
Attorney General

Attorney General of New Mexico

Registry of Charitable Organizations
111 Lomas Blvd. NW, Suite 300
Albuquerque, NM 87102

(505) 222-9000
Fax: (505) 222-9006

NM Charitable Organization Registration Statement

Registration Tax Year

2015

Fiscal Year Period

7/1/2015 - 6/30/2016

Registration Status: **Registration Submitted**

Status Date: **November 10, 2016**

Registration Number: **20153211631540377**

Charity Name: LifeROOTS Inc.

FEIN: 85-0135073

Website Address: www.lifeROOTSnm.org

E-Mail Address: info@LifeROOTSnm.org

Fiscal Year End Month: 06 *Day:* 30

Incorporated: Yes *State:* NM *Date:* 3/24/1958

Organization Type:

Establish Date:

Other Names for Charity: LifeROOTS

Primary Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Mailing Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Other Addresses: No Other Addresses

Phone Numbers: 505-255-5501 Ext: 1800 (Telephone)
5052559971 (Fax)

NTEE Classifications: J30 Vocational Rehabilitation

Charity Individuals:

Name: Geiszler, Linda

Position Title: Board Secretary

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Guerra, Carol

Position Title: Past Chair

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Iliff, Sue

Position Title: Board Member

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Mino, Rakotoarijaona 255-5501

Position Title: Human Resources Manager MinoR@LifeROOTSnm.org

Annual

Compensation: \$31,200.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Molina, Matthew 255-5501

Position Title: Director of Contracts MatthewM@LifeROOTSnm.org

Annual

Compensation: \$59,500.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Ortega, Angela 255-5501

Position Title: Director of Community Services AngelaO@LifeROOTSnm.org

Annual

Compensation: \$55,000.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Padilla, Sylvia 255-5501

Position Title: Director of Quality assurance sylviap@LifeROOTSnm.org

Annual

Compensation: \$36,881.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Charity Individuals:

Name: Reade, Lewis

Position Title: Board Member

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Salazar, Catherine

Position Title: Board Chair

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Saldyt, Myron "Sal"

Position Title: Board Member

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Schofield, Joan

Position Title: Board Member

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Silva, Maggie

Position Title: Board Member

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Strickler, Leslie

Position Title: Board Vice Chair

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Tatz, Jill

Position Title: Board Member

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Vaughn, Brad

Position Title: Board Member

*Annual
Compensation:* \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Charity Individuals:

Name: Vigil, Jeanne

Position Title: Board Treasurer

*Annual
Compensation:* \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

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*Individuals who are authorized
to sign checks:*

Cates, Kathleen	President and CEO
Coca, Grace	Director of Children's and Therapy Services
Diefenbaugh, Robert	Director of Safety, Facilities and Fleet
Guerra, Carol	Past Chair
Schofield, Joan	Board Member
Strickler, Leslie	Board Vice Chair
Vigil, Jeanne	Board Treasurer

*Individuals who are responsible
for fund raising:*

Cates, Kathleen	President and CEO
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*Individuals who are responsible
for the distribution of funds:*

Cates, Kathleen	President and CEO
Eberhardt, Trudy	Chief Financial Officer

*Individuals who have custody of
financial records:*

Eberhardt, Trudy	Chief Financial Officer
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*Individuals who have custody of
funds:*

Salazar, Catherine	Board Chair
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Accountant/Auditor:

Person Name: Lewis, Barbara

Job Title: Audit Shareholder

Company: Atkinson & Co.

Address: 6501 Americas Pkwy NE
Suite 700
Albuquerque, NM 87110

Phone Number: 843-6492

Fax Number: 843-6817

E-Mail Address: blewis@atkinsoncpa.com

Person Authorized to Receive
Service of Process:

Person Name: Cates, Kathleen

Job Title: President and CEO

Company: LifeROOTS, Inc.

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Phone Number: 255-5501

Fax Number: 255-9971

E-Mail Address: Kathleenc@LifeROOTSnm.org

Professional Fundraisers:

Question and Answer:

Q: Has organization or any of its officers, directors, employees or fund raisers ever been enjoined or otherwise prohibited by a government agency/court from soliciting?

A: No

Q: Has organization or any of its officers, directors, employees or fund raisers had its registration been denied or revoked?

A: No

Q: Has organization or any of its officers, directors, employees or fund raisers ever been the subject of a proceeding regarding any solicitation or registration?

A: No

Q: Has organization or any of its officers, directors, employees or fund raisers ever entered into a voluntary agreement of compliance with any government agency or in a case before a court or administrative agency?

A: No

Q: Has organization or any of its officers, directors, employees or fund raisers registered with or obtained exemption from any state or agency?

A: No

Q: Has organization or any of its officers, directors, employees or fund raisers solicited funds in New Mexico?

A: Yes Capital campaign
Place to donate on web site
Mail

Q: Are any of the organization's officers, directors, trustees or employees related by blood, marriage, or adoption to: (a) any other officer, director, trustee or employee OR (b) any officer, agent, or employee of any fundraising professional firm under contract to the organization OR (c) any officer, agent, or employee of a supplier or vendor firm providing goods or services to the organization?

A: No

Q: Does the organization or any of its officers, directors, employees, or anyone holding a financial interest in the organization have a financial interest in a business described in (b) or (c) in previous question OR serve as an officer, director, partner or employee of a business described in (b) or (c) in previous question?

A: Yes Carol Guerra, board member, is an owner of All-Star Insurance, 2625 Pennsylvania NE, Ste 710, Albuquerque, NM 87110. Jeanne Vigil, board member, is an employee/Vice-President of US Bank, , 7900 Jefferson St. NE, Albuquerque, NM 87109.

Q: Have any of the organization's officers, directors, or principal executives ever been convicted of a misdemeanor or felony?

A: No

Q: Does the organization receive financial support from other non-profit organizations (foundations, public charities, combined campaigns, etc.)?

A: Yes United Way
Anderson Charitable Foundation

Q: Does the organization share revenue or governance with any other non-profit organization?

A: No

Q: Does any other person or organization own a 10% or greater interest in your organization OR does your organization own a 10% or greater interest in any other organization?

A: No

Applied For Tax Exempt: Yes 2/28/1963

Granted Tax Exempt: Yes 2/28/1963

IRS Section: 501(c)(3)

Tax Exempt Ever Denied: No

Tax Exempt Ever Revoked: No

Tax Exempt Ever Modified: No

Contributions Tax Deductible: Yes

Banks:

US Bank

1-800-673-3555

P. O. Box 1800

Saint Paul, MN 55101-0800

Bank of the West

1-800-488-2265

P.O. Box 2830

Omaha, NE 68103-2830

Annual Financials

Tax Year: 2015

IRS Document Filed: 990

Total Contributions: \$294,169.00

Total Gross Revenue: \$7,039,754.00

Total Expenses: \$6,813,360.00

Program Services Expenses: \$5,872,880.00

Management General Expenses: \$891,967.00

Fundraising Expenses: \$48,513.00

Beginning of Year Net Assets: \$2,279,798.00

End of Year Net Assets: \$2,506,192.00

*Gross Professional Fundraising
Collections:* \$0.00

*Net Professional Fundraising
Collections:* \$0.00

Registration Submitted By:

Eberhardt, Trudy

Chief Financial Officer

505-255-5501

trudye@liferootsnm.org