



Hector Balderas
Attorney General

New Mexico Office of the Attorney General

Registry of Charitable Organizations
P.O. Drawer 1508
Santa Fe, NM 87504-150
charity.registrar@nmag.gov
(505) 717-3500
1-844-255-9210

NM Charitable Organization Registration Statement

Registration Tax Year

2016

Fiscal Year Period

1/1/2016 - 12/31/2016

Registration Status: **Registration Submitted**

Status Date: **December 29, 2017**

Registration Number: **20162711736358871**

Charity Name: Southwestern Association for Indian Arts, Inc.

FEIN: 85-0212504

Website Address: www.swaia.org

E-Mail Address: finance@swaia.org

Fiscal Year End Month: 12 *Day:* 31

Incorporated: Yes *State:* NM *Date:* 3/15/1948

Organization Type:

Establish Date:

Other Names for Charity: SWAIA
Santa Fe Indian Market
Indian Market

Primary Address: SWAIA
121 Sandoval, Ste 302
Santa Fe, NM 87501

Mailing Address: P.O. Box 969
Santa Fe, NM 87504

Other Addresses: No Other Addresses

Phone Numbers: 505-983-5220 (Telephone)

NTEE Classifications: A20 Arts & Culture

Charity Purpose: TO DEVELOP, SPONSOR AND PROMOTE THE SANTA FE INDIAN MARKET AND OTHER NATIVE ARTS EVENTS

Solicitation Methods: E-Mail
Internet
Mail
Special Events Indian Market, and Winter Indian Market
Newspaper/Magazine Ads
Radio/TV Appeals

Charity Individuals:

<i>Name:</i> Bahti, Mark	505-983-5220
<i>Position Title:</i> Director	
<i>Annual Compensation:</i> \$0.00	
<i>Address:</i> P.O. Box 969 Santa Fe, NM 87504	
<i>Name:</i> Chavez, Lisa	505-983-5220
<i>Position Title:</i> Director	
<i>Annual Compensation:</i> \$0.00	
<i>Address:</i> P.O. Box 969 Santa Fe, NM 87504	
<i>Name:</i> Crane, Daniel	505-983-5220
<i>Position Title:</i> Director	
<i>Annual Compensation:</i> \$0.00	
<i>Address:</i> 121 Sandoval, Ste 302 Santa Fe, NM 87501	
<i>Name:</i> Gillespie, Yvonne	505-983-5220
<i>Position Title:</i> Bookkeeping	finance@swaia.org
<i>Annual Compensation:</i> \$45,760.00	
<i>Address:</i> P.O. Box 969 Santa Fe, NM 87504	
<i>Name:</i> Jones, John D	505-983-5220
<i>Position Title:</i> Chief Development Officer	JJones@swaia.org
<i>Annual Compensation:</i> \$105,000.00	
<i>Address:</i> P.O. Box 969 Santa Fe, NM 87504	
<i>Name:</i> Kirk, Elizabeth	505-314-3268

Position Title: Board Chair

Annual

Compensation: \$0.00

Address: P.O. Box 969

Santa Fe, NM 87504

Charity Individuals:

Name: Masiel, Andrew

Position Title: Director

Annual

Compensation: \$0.00

Address: P.O. Box 969

Santa Fe, NM 87504

Name: Maybee, Dallin

505-983-5220

Position Title: Chief Operating Officer

dmaybee@hotmail.com

Annual

Compensation: \$105,000.00

Address: P.O. Box 969

Santa Fe, NM 87504

Name: Pettus, Elizabeth

505-983-5220

Position Title: Secretary

Annual

Compensation: \$0.00

Address: P.O. Box 969

Santa Fe, NM 87504

Name: Poston, L. Stephine

505-983-5220

Position Title: Director

Annual

Compensation: \$0.00

Address: 121 Sandoval, Ste 302

Santa Fe, NM 87501

Name: Rabbit, Traci

505-983-5220

Position Title: Director

Annual

Compensation: \$0.00

Address: SWAIA

121 Sandoval, Ste 302

Santa Fe, NM 87501

Name: Sayre, Lloyd K.

505-983-5220

Position Title: Treasurer

Annual

Compensation: \$0.00

Address: P.O. Box 969

Santa Fe, NM 87504

Name: Teegarden, Thomas

505-983-5220

Position Title: Director

*Annual
Compensation:* \$0.00

Address: P.O. Box 969
Santa Fe, NM 87504

Charity Individuals:

Name: Toya, Dominique 505-983-5220

Position Title: Vice Chair

*Annual
Compensation:* \$0.00

Address: P.O. Box 969
Santa Fe, NM 87504

Name: Youngblood, Chris 505-983-5220

Position Title: Director

*Annual
Compensation:* \$0.00

Address: 121 Sandoval, Ste 302
Santa Fe, NM 87501

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*Individuals who are authorized
to sign checks:*

Jones, John D	Chief Development Officer
Maybee, Dallin	Chief Operating Officer
Pettus, Elizabeth	Secretary
Sayre, Lloyd K.	Treasurer

*Individuals who are responsible
for fund raising:*

Jones, John D	Chief Development Officer
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*Individuals who are responsible
for the distribution of funds:*

Jones, John D	Chief Development Officer
Maybee, Dallin	Chief Operating Officer
Sayre, Lloyd K.	Treasurer

*Individuals who have custody of
financial records:*

Gillespie, Yvonne	Bookkeeping
Jones, John D	Chief Development Officer
Maybee, Dallin	Chief Operating Officer

*Individuals who have custody of
funds:*

Gillespie, Yvonne	Bookkeeping
Maybee, Dallin	Chief Operating Officer

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Accountant/Auditor:

Person Name: Moore, Michael

Job Title: Auditor

Company: MP Group, Inc.

Address: 8500 Menaul NE

Suite A220

Albuquerque, NM 87112

Phone Number: (505) 340-2020

E-Mail Address: mmoore@mpgroup-cpa.com

*Person Authorized to Receive
Service of Process:*

Person Name: Gillespie, Yvonne

Job Title: Bookkeeper

Company: SWAIA

Address: P.O. Box 969

Santa Fe, NM 87504

Phone Number: 505-983-5220

E-Mail Address: finance@swaia.org

Professional Fundraisers:

Question and Answer:

Q: Has organization or any of its officers, directors, employees or fund raisers ever been enjoined or otherwise prohibited by a government agency/court from soliciting?

A: No

Q: Has organization or any of its officers, directors, employees or fund raisers had its registration been denied or revoked?

A: No

Q: Has organization or any of its officers, directors, employees or fund raisers ever been the subject of a proceeding regarding any solicitation or registration?

A: No None noted

Q: Has organization or any of its officers, directors, employees or fund raisers ever entered into a voluntary agreement of compliance with any government agency or in a case before a court or administrative agency?

A: No None noted

Q: Has organization or any of its officers, directors, employees or fund raisers registered with or obtained exemption from any state or agency?

A: No None noted

Q: *Has organization or any of its officers, directors, employees or fund raisers solicited funds in New Mexico?*

A: Yes General solicitation of contribution and sponsorship efforts from related businesses and supporting individuals.

Q: *Are any of the organization's officers, directors, trustees or employees related by blood, marriage, or adoption to: (a) any other officer, director, trustee or employee OR (b) any officer, agent, or employee of any fundraising professional firm under contract to the organization OR (c) any officer, agent, or employee of a supplier or vendor firm providing goods or services to the organization?*

A: No None noted

Q: *Does the organization or any of its officers, directors, employees, or anyone holding a financial interest in the organization have a financial interest in a business described in (b) or (c) in previous question OR serve as an officer, director, partner or employee of a business described in (b) or (c) in previous question?*

A: No None noted

Q: *Have any of the organization's officers, directors, or principal executives ever been convicted of a misdemeanor or felony?*

A: No None noted

Q: *Does the organization receive financial support from other non-profit organizations (foundations, public charities, combined campaigns, etc.)?*

A: Yes State and national arts organizations

Q: *Does the organization share revenue or governance with any other non-profit organization?*

A: No

Q: *Does any other person or organization own a 10% or greater interest in your organization OR does your organization own a 10% or greater interest in any other organization?*

A: No

<i>Applied For Tax Exempt:</i>	Yes	1/30/1971
<i>Granted Tax Exempt:</i>	Yes	6/30/1971
<i>IRS Section:</i>	501(c)(3)	
<i>Tax Exempt Ever Denied:</i>	No	
<i>Tax Exempt Ever Revoked:</i>	No	
<i>Tax Exempt Ever Modified:</i>	No	
<i>Contributions Tax Deductible:</i>	Yes	

Banks:

First National Bank of Santa Fe

505-992-2000
PO Box 609
Santa Fe, NM 87504

Century Bank
505-995-1238
100 S. Federal Place
Santa Fe, NM 87501

Annual Financials

Tax Year: 2016

IRS Document Filed: 990

Total Contributions: \$696,925.00

Total Gross Revenue: \$1,312,831.00

Total Expenses: \$1,323,807.00

Program Services Expenses: \$599,490.00

Management General Expenses: \$325,429.00

Fundraising Expenses: \$398,888.00

Beginning of Year Net Assets: \$396,084.00

End of Year Net Assets: \$488,006.00

*Gross Professional Fundraising
Collections:* \$0.00

*Net Professional Fundraising
Collections:* \$0.00

Registration Submitted By:

Gillespie, Yvonne
Bookkeeping

505-983-5220
finance@swaia.org