



Hector Balderas
Attorney General

New Mexico Office of the Attorney General

Registry of Charitable Organizations
P.O. Drawer 1508
Santa Fe, NM 87504-150
charity.registrar@nmag.gov
(505) 717-3500
1-844-255-9210

NM Charitable Organization Registration Statement

Registration Tax Year

2016

Fiscal Year Period

7/1/2016 - 6/30/2017

Registration Status: **Registration Submitted**

Status Date: **December 27, 2017**

Registration Number: **20163211736147143**

Charity Name: LifeROOTS Inc.

FEIN: 85-0135073

Website Address: www.lifeROOTSnm.org

E-Mail Address: info@LifeROOTSnm.org

Fiscal Year End Month: 06 *Day:* 30

Incorporated: Yes *State:* NM *Date:* 3/24/1958

Organization Type:

Establish Date:

Other Names for Charity: LifeROOTS

Primary Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Mailing Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Other Addresses:

1909 29th St.
Rio Rancho, NM 87124

Phone Numbers: 505-255-5501 Ext: 1800 (Telephone)

505-255-9971 (Fax)

505-962-0270 (Telephone)

NTEE Classifications: J30 Vocational Rehabilitation
P30 Children & Youth Services
P82 Developmentally Disabled Centers

Charity Purpose: Providing people with disabilities and their families the resources and support they need to empower their lives and shape their futures.

Solicitation Methods:

Supported by Trust or Grant	United Way
Internet	Web site
Mail	quarterly newsletters
Supported by Trust or Grant	Anderson Charitable Foundation
Supported by Trust or Grant	NMDOT Section 5310 Grant
Other	Smiths Community Rewards
Internet	Smile.Amazon Charitable Donations
Special Events	Annual Pi(e) Event March 14th

Charity Individuals: *Name:* Bernal, Leticia

Position Title: Board Member

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE

Albuquerque, NM 87107

Name: Cates, Kathleen

505-255-5501

Position Title: President and CEO

KathleenC@LifeROOTSnm.org

Annual

Compensation: \$102,000.00

Address: 1111 Menaul Blvd. NE

Albuquerque, NM 87107

Name: Coca, Grace

255-5501

Position Title: Director of Children's and
Therapy Services

GraceC@LifeROOTSnm.org

Annual

Compensation: \$60,000.00

Address: 1111 Menaul Blvd. NE

Albuquerque, NM 87107

Name: Costilla, Ellen

Position Title: Board Member

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE

ALBUQUERQUE, NM 87107

Charity Individuals:

Name: Dal Porto, Dawn

Position Title: Board Member

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Diefenbaugh, Robert 5052555501

Position Title: Director of Safety, Facilities and Fleet RobertD@LifeROOTSNM.org

Annual

Compensation: \$58,600.00

Address: 1111 Menaul Blvd. NE
ALBUQUERQUE, NM 87107

Name: Eberhardt, Trudy 255-5501 x1801

Position Title: Chief Financial Officer Trudye@LifeROOTSNM.org

Annual

Compensation: \$75,000.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Geiszler, Linda

Position Title: Board Secretary

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Guerra, Carol

Position Title: Past Chair

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Mino, Rakotoarijaona 255-5501

Position Title: Director of Human Resources MinoR@LifeROOTSnm.org

Annual

Compensation: \$50,000.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Molina, Matthew 255-5501

Position Title: Director of Contracts MatthewM@LifeROOTSnm.org

Annual

Compensation: \$65,100.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Charity Individuals:

Name: Ortega, Angela

255-5501

Position Title: Director of Community Services

AngelaO@LifeROOTSnm.org

Annual

Compensation: \$58,000.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Padilla, Sylvia

255-5501

Position Title: Director of Quality assurance

sylviap@LifeROOTSnm.org

Annual

Compensation: \$36,881.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Salazar, Catherine

Position Title: Past Chair

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Saldyt, Myron "Sal"

Position Title: Board Member

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Schofield, Joan

Position Title: Board Member

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Silva, Maggie

Position Title: Board Member

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Strickler, Leslie

Position Title: Chairman of the Board

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Charity Individuals:

Name: Tatz, Jill

Position Title: Board Member

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Vaughn, Brad

Position Title: Board Member

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Vigil, Jeanne

Position Title: Board Treasurer

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

*Individuals who are authorized
to sign checks:*

Cates, Kathleen	President and CEO
Coca, Grace	Director of Children's and Therapy Services
Diefenbaugh, Robert	Director of Safety, Facilities and Fleet
Guerra, Carol	Past Chair
Schofield, Joan	Board Member
Strickler, Leslie	Chairman of the Board
Vigil, Jeanne	Board Treasurer

*Individuals who are responsible
for fund raising:*

Cates, Kathleen	President and CEO
Strickler, Leslie	Chairman of the Board

*Individuals who are responsible
for the distribution of funds:*

Cates, Kathleen	President and CEO
Eberhardt, Trudy	Chief Financial Officer

*Individuals who have custody of
financial records:*

Eberhardt, Trudy	Chief Financial Officer
------------------	-------------------------

*Individuals who have custody of
funds:*

Cates, Kathleen	President and CEO
-----------------	-------------------

Individuals who have custody of
funds:

Guerra, Carol

Past Chair

Accountant/Auditor:

Person Name: Lewis, Barbara
Job Title: Audit Shareholder
Company: Atkinson & Co.
Address: 6501 Americas Pkwy NE
Suite 700
Albuquerque, NM 87110
Phone Number: 843-6492
Fax Number: 843-6817
E-Mail Address: blewis@atkinsoncpa.com

*Person Authorized to Receive
Service of Process:*

Person Name: Cates, Kathleen
Job Title: President and CEO
Company: LifeROOTS, Inc.
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107
Phone Number: 255-5501
Fax Number: 255-9971
E-Mail Address: Kathleenc@LifeROOTSnm.org

Professional Fundraisers:

Question and Answer:

Q: Has organization or any of its officers, directors, employees or fund raisers ever been enjoined or otherwise prohibited by a government agency/court from soliciting?

A: No

Q: Has organization or any of its officers, directors, employees or fund raisers had its registration been denied or revoked?

A: No

Q: Has organization or any of its officers, directors, employees or fund raisers ever been the subject of a proceeding regarding any solicitation or registration?

A: No

Q: Has organization or any of its officers, directors, employees or fund raisers ever entered into a voluntary agreement of compliance with any government agency or in a case before a court or administrative agency?

A: No

Q: *Has organization or any of its officers, directors, employees or fund raisers registered with or obtained exemption from any state or agency?*

A: No

Q: *Has organization or any of its officers, directors, employees or fund raisers solicited funds in New Mexico?*

A: Yes Capital campaign
Place to donate on web site
Mail
Annual Pi(e) Event March 14th

Q: *Are any of the organization's officers, directors, trustees or employees related by blood, marriage, or adoption to: (a) any other officer, director, trustee or employee OR (b) any officer, agent, or employee of any fundraising professional firm under contract to the organization OR (c) any officer, agent, or employee of a supplier or vendor firm providing goods or services to the organization?*

A: No

Q: *Does the organization or any of its officers, directors, employees, or anyone holding a financial interest in the organization have a financial interest in a business described in (b) or (c) in previous question OR serve as an officer, director, partner or employee of a business described in (b) or (c) in previous question?*

A: Yes Carol Guerra, board member, is an owner of All-Star Insurance, 2625 Pennsylvania NE, Ste 710, Albuquerque, NM 87110.
Jeanne Vigil, board member, is an employee/Vice-President of US Bank, 7900 Jefferson St. NE, Albuquerque, NM 87109.

Q: *Have any of the organization's officers, directors, or principal executives ever been convicted of a misdemeanor or felony?*

A: No

Q: *Does the organization receive financial support from other non-profit organizations (foundations, public charities, combined campaigns, etc.)?*

A: Yes United Way,
Anderson Charitable Foundation

Q: *Does the organization share revenue or governance with any other non-profit organization?*

A: No

Q: *Does any other person or organization own a 10% or greater interest in your organization OR does your organization own a 10% or greater interest in any other organization?*

A: No

Applied For Tax Exempt: Yes 2/28/1963
Granted Tax Exempt: Yes 2/28/1963

IRS Section: 501(c)(3)
Tax Exempt Ever Denied: No
Tax Exempt Ever Revoked: No
Tax Exempt Ever Modified: No
Contributions Tax Deductible: Yes

Banks:

US Bank
1-800-673-3555
P. O. Box 1800
Saint Paul, MN 55101-0800

Bank of the West
1-800-488-2265
P.O. Box 2830
Omaha, NE 68103-2830

Annual Financials

Tax Year:	2016
IRS Document Filed:	990
Total Contributions:	\$154,756.00
Total Gross Revenue:	\$6,964,833.00
Total Expenses:	\$7,073,438.00
Program Services Expenses:	\$6,024,505.00
Management General Expenses:	\$984,424.00
Fundraising Expenses:	\$64,509.00
Beginning of Year Net Assets:	\$2,506,192.00
End of Year Net Assets:	\$2,415,142.00
Gross Professional Fundraising Collections:	\$0.00
Net Professional Fundraising Collections:	\$0.00

.....
Registration Submitted By:

Eberhardt, Trudy
Chief Financial Officer

505-255-5501
trudye@liferootsnm.org