

STATE OF NEW MEXICO
OFFICE OF THE ATTORNEY GENERAL



Registry of Charitable Organizations
P.O. Drawer 1508
Santa Fe, NM 87504-150
charity.registrar@nmag.gov
(505) 717-3500 or 1-844-255-9210

HECTOR H. BALDERAS
ATTORNEY GENERAL

NM Charitable Organization Registration Statement

Tax Year 2018 - fiscal period beginning 7/1/2018 and ending 6/30/2019

Status is Registration Submitted (20183211936548954) as of 12/31/2019

For up to date status information, visit <https://secure.nmag.gov/CharitySearch/CharityDetail.aspx?FEIN=85-0135073>

Charity Name: LifeROOTS Inc.

FEIN: 85-0135073

Website Address: www.lifeROOTSnm.org

E-Mail Address: info@LifeROOTSnm.org

Fiscal Year End Month: 06 *Day:* 30

Incorporated: Yes *State:* NM *Date:* 3/24/1958

Organization Type:

Establish Date:

Other Names for Charity: LifeROOTS

Primary Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Mailing Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Other Addresses:
1909 29th St.
Rio Rancho, NM 87124

Phone Numbers: 505-255-5501 Ext: 1800 (Telephone)

Phone Numbers: 505-255-9971 (Fax)
505-962-0270 (Telephone)

NTEE Classifications: J30 Vocational Rehabilitation
P30 Children & Youth Services
P82 Developmentally Disabled Centers

Charity Purpose: Providing people with disabilities and their families the resources and support they need to empower their lives and shape their futures.

Solicitation Methods:	Supported by Trust or Grant	SourceAmerica
	Internet	Web site
	Mail	quarterly newsletters
	Supported by Trust or Grant	Anderson Charitable Foundation
	Supported by Trust or Grant	NMDOT Section 5310 Grant
	Other	Smiths Community Rewards
	Internet	Smile.Amazon Charitable Donations
	Special Events	Annual Pi(e) Event March 14th

Charity Individuals:

Name: Bernal, Leticia

Position Title: Board Member

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE

Albuquerque, NM 87107

Name: Blackmon-Mosely, Dawnita

255-5501

Position Title: Director of Children's and
Therapy Services

DawnitaB@LifeROOTS
nm.org

Annual

Compensation: \$58,000.00

Address: 1111 Menaul Blvd. NE

Albuquerque, NM 87107

Name: Carpenter, Cynthia

255-5501 x1801

Position Title: Chief Financial Officer

CynthiaC@LifeROOTS
NM.org

Annual

Compensation: \$67,000.00

Address: 1111 Menaul Blvd. NE

Albuquerque, NM 87107

Charity Individuals:

<i>Name:</i> Cates, Kathleen	505-255-5501
<i>Position Title:</i> President and CEO	KathleenC@LifeROOTS nm.org
<i>Annual Compensation:</i> \$102,000.00	
<i>Address:</i> 1111 Menaul Blvd. NE Albuquerque, NM 87107	
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<i>Name:</i> Dal Porto, Dawn	
<i>Position Title:</i> Board Member	
<i>Annual Compensation:</i> \$0.00	
<i>Address:</i> 1111 Menaul Blvd. NE Albuquerque, NM 87107	
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<i>Name:</i> Diefenbaugh, Robert	5052555501
<i>Position Title:</i> Director of Safety, Facilities and Fleet	RobertD@LifeROOTSN M.org
<i>Annual Compensation:</i> \$58,600.00	
<i>Address:</i> 1111 Menaul Blvd. NE ALBUQUERQUE, NM 87107	
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<i>Name:</i> Geiszler, Linda	
<i>Position Title:</i> Board Secretary	
<i>Annual Compensation:</i> \$0.00	
<i>Address:</i> 1111 Menaul Blvd. NE Albuquerque, NM 87107	
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<i>Name:</i> Guerra, Carol	
<i>Position Title:</i> Past Chair	
<i>Annual Compensation:</i> \$0.00	
<i>Address:</i> 1111 Menaul Blvd. NE Albuquerque, NM 87107	
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<i>Name:</i> Mino, Rakotoarijaona	255-5501
<i>Position Title:</i> Director of Human Resources	MinoR@LifeROOTSnm. org
<i>Annual Compensation:</i> \$50,000.00	
<i>Address:</i> 1111 Menaul Blvd. NE Albuquerque, NM 87107	
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<i>Name:</i> Molina, Matthew	255-5501
<i>Position Title:</i> Director of Contracts	MatthewM@LifeROOTS nm.org
<i>Annual Compensation:</i> \$65,100.00	
<i>Address:</i> 1111 Menaul Blvd. NE Albuquerque, NM 87107	
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<i>Name:</i> Ortega, Angela	255-5501

Position Title: Director of Community Services

AngelaO@LifeROOTSnm.org

Annual

Compensation: \$60,000.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Charity Individuals:

Name: Padilla, Sylvia

255-5501

Position Title: Director of Quality assurance

sylviap@LifeROOTSnm.org

Annual

Compensation: \$36,881.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Salazar, Catherine

Position Title: Past Chair

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Saldyt, Myron "Sal"

Position Title: Board Member

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Silva, Maggie

Position Title: Board Member

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Strickler, Leslie

Position Title: Chairman of the Board

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Name: Vigil, Jeanne

Position Title: Board Treasurer

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107

Responsibilities:

Individuals who are authorized to sign checks: Cates, Kathleen

President and CEO

Individuals who are authorized to sign checks: Dal Porto, Dawn Board Member
Guerra, Carol Past Chair
Mino, Rakotoarijaona Director of Human Resources
Strickler, Leslie Chairman of the Board
Vigil, Jeanne Board Treasurer

Individuals who are responsible for fund raising: Cates, Kathleen President and CEO
Strickler, Leslie Chairman of the Board

Individuals who are responsible for the distribution of funds: Carpenter, Cynthia Chief Financial Officer
Cates, Kathleen President and CEO

Individuals who have custody of financial records: Carpenter, Cynthia Chief Financial Officer

Individuals who have custody of funds: Cates, Kathleen President and CEO

Accountant/Auditor:

Person Name: Lewis, Barbara
Job Title: Audit Shareholder
Company: Atkinson & Co.
Address: 6501 Americas Pkwy NE
Suite 700
Albuquerque, NM 87110
Phone Number: 843-6492
Fax Number: 843-6817
E-Mail Address: blewis@atkinsoncpa.com

*Person Authorized to Receive
Service of Process:*

Person Name: Cates, Kathleen
Job Title: President and CEO
Company: LifeROOTS, Inc.
Address: 1111 Menaul Blvd. NE
Albuquerque, NM 87107
Phone Number: 255-5501
Fax Number: 255-9971
E-Mail Address: Kathleenc@LifeROOTSnm.org

Professional Fundraisers:

Question and Answer:

Q: Has organization or any of its officers, directors, employees or fund raisers ever been enjoined or otherwise prohibited by a government agency/court from soliciting?

A: No

Q: *Has organization or any of its officers, directors, employees or fund raisers had its registration been denied or revoked?*

A: No

Q: *Has organization or any of its officers, directors, employees or fund raisers ever been the subject of a proceeding regarding any solicitation or registration?*

A: No

Q: *Has organization or any of its officers, directors, employees or fund raisers ever entered into a voluntary agreement of compliance with any government agency or in a case before a court or administrative agency?*

A: No

Q: *Has organization or any of its officers, directors, employees or fund raisers registered with or obtained exemption from any state or agency?*

A: No

Q: *Has organization or any of its officers, directors, employees or fund raisers solicited funds in New Mexico?*

A: Yes Capital campaign
 Place to donate on web site
 Mail
 Annual Pi(e) Event March 12th

Q: *Are any of the organization's officers, directors, trustees or employees related by blood, marriage, or adoption to: (a) any other officer, director, trustee or employee OR (b) any officer, agent, or employee of any fundraising professional firm under contract to the organization OR (c) any officer, agent, or employee of a supplier or vendor firm providing goods or services to the organization?*

A: No

Q: *Does the organization or any of its officers, directors, employees, or anyone holding a financial interest in the organization have a financial interest in a business described in (b) or (c) in previous question OR serve as an officer, director, partner or employee of a business described in (b) or (c) in previous question?*

A: Yes Carol Guerra, board member, is an owner of All-Star Insurance, 2625 Pennsylvania NE, Ste 710, Albuquerque, NM 87110.
 Jeanne Vigil, board member, is an employee/Vice-President of US Bank, 7900 Jefferson St. NE, Albuquerque, NM 87109.

Q: *Have any of the organization's officers, directors, or principal executives ever been convicted of a misdemeanor or felony?*

A: No

Q: *Does the organization receive financial support from other non-profit organizations (foundations, public charities, combined campaigns, etc.)?*

A: Yes
 Anderson Charitable Foundation

Q: Does the organization share revenue or governance with any other non-profit organization?

A: No

Q: Does any other person or organization own a 10% or greater interest in your organization OR does your organization own a 10% or greater interest in any other organization?

A: No

Applied For Tax Exempt:	Yes	2/28/1963
Granted Tax Exempt:	Yes	2/28/1963
IRS Section:	501(c)(3)	
Tax Exempt Ever Denied:	No	
Tax Exempt Ever Revoked:	No	
Tax Exempt Ever Modified:	No	
Contributions Tax Deductible:	Yes	

Banks:

US Bank
1-800-673-3555
P. O. Box 1800
Saint Paul, MN 55101-0800

Bank of the West
1-800-488-2265
P.O. Box 2830
Omaha, NE 68103-2830

Annual Financials

<i>IRS Document Filed:</i>	990
<i>Total Contributions:</i>	\$371,576.00
<i>Total Gross Revenue:</i>	\$8,028,350.00
<i>Total Expenses:</i>	\$7,854,942.00
<i>Program Services Expenses:</i>	\$6,726,704.00
<i>Management General Expenses:</i>	\$1,063,391.00
<i>Fundraising Expenses:</i>	\$67,986.00
<i>Beginning of Year Net Assets:</i>	\$2,377,891.00
<i>End of Year Net Assets:</i>	\$2,490,449.00
<i>Gross Professional Fundraising Collections:</i>	\$0.00
<i>Net Professional Fundraising Collections:</i>	\$0.00

Tax Year 2018 - fiscal period beginning 7/1/2018 and ending 6/30/2019

Registration Submitted By:

Carpenter, Cynthia
Chief Financial Officer

505-255-5501
trudye@liferootsnm.org