

STATE OF NEW MEXICO  
OFFICE OF THE ATTORNEY GENERAL



Registry of Charitable Organizations  
P.O. Drawer 1508  
Santa Fe, NM 87504-150  
charity.registrar@nmag.gov  
(505) 717-3500 or 1-844-255-9210

HECTOR H. BALDERAS  
ATTORNEY GENERAL

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## NM Charitable Organization Registration Statement

Tax Year 2019 - fiscal period beginning 7/1/2019 and ending 6/30/2020

**Status is Registration Submitted (20193212114034800) as of 5/20/2021**

For up to date status information, visit <https://secure.nmag.gov/CharitySearch/CharityDetail.aspx?FEIN=85-0135073>

**Charity Name:** LifeROOTS Inc.

**FEIN:** 85-0135073

*Website Address:* www.lifeROOTSnm.org

*E-Mail Address:* info@LifeROOTSnm.org

*Fiscal Year End Month:* 06      *Day:* 30

*Incorporated:* Yes      *State:* NM      *Date:* 3/24/1958

*Organization Type:*

*Establish Date:*

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*Other Names for Charity:* LifeROOTS

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*Primary Address:* 1111 Menaul Blvd. NE  
Albuquerque, NM 87107

*Mailing Address:* 1111 Menaul Blvd. NE  
Albuquerque, NM 87107

*Other Addresses:*  
1909 29th St.  
Rio Rancho, NM 87124

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*Phone Numbers:* 505-255-5501 Ext: 1800 (Telephone)

Phone Numbers: 505-255-9971 (Fax)  
505-962-0270 (Telephone)

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NTEE Classifications: J30 Vocational Rehabilitation  
P30 Children & Youth Services  
P82 Developmentally Disabled Centers

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Charity Purpose: Providing people with disabilities and their families the resources and support they need to empower their lives and shape their futures.

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|                       |                             |                                   |
|-----------------------|-----------------------------|-----------------------------------|
| Solicitation Methods: | Supported by Trust or Grant | SourceAmerica                     |
|                       | Internet                    | Web site                          |
|                       | Mail                        | quarterly newsletters             |
|                       | Supported by Trust or Grant | Anderson Charitable Foundation    |
|                       | Supported by Trust or Grant | NMDOT Section 5310 Grant          |
|                       | Other                       | Smiths Community Rewards          |
|                       | Internet                    | Smile.Amazon Charitable Donations |
|                       | Special Events              | Annual Pi(e) Event March 14th     |

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Charity Individuals:

Name: Bernal, Leticia

Position Title: Board Member

Annual

Compensation: \$0.00

Address: 1111 Menaul Blvd. NE

Albuquerque, NM 87107

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Name: Blackmon-Mosely, Dawnita

255-5501

Position Title: Director of Children's and  
Therapy Services

DawnitaB@LifeROOTS  
nm.org

Annual

Compensation: \$58,000.00

Address: 1111 Menaul Blvd. NE

Albuquerque, NM 87107

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Name: Carpenter, Cynthia

255-5501 x1801

Position Title: Chief Financial Officer

CynthiaC@LifeROOTS  
NM.org

Annual

Compensation: \$67,000.00

Address: 1111 Menaul Blvd. NE

Albuquerque, NM 87107

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Charity Individuals:

|  |                               |
|--|-------------------------------|
| <i>Name:</i> Cates, Kathleen                                       | 505-255-5501                  |
| <i>Position Title:</i> President and CEO                           | KathleenC@LifeROOTS<br>nm.org |
| <i>Annual<br/>Compensation:</i> \$102,000.00                       |                               |
| <i>Address:</i> 1111 Menaul Blvd. NE<br>Albuquerque, NM 87107      |                               |
| <hr/>  |                               |
| <i>Name:</i> Dal Porto, Dawn                                       |                               |
| <i>Position Title:</i> Board Member                                |                               |
| <i>Annual<br/>Compensation:</i> \$0.00                             |                               |
| <i>Address:</i> 1111 Menaul Blvd. NE<br>Albuquerque, NM 87107      |                               |
| <hr/>  |                               |
| <i>Name:</i> Diefenbaugh, Robert                                   | 5052555501                    |
| <i>Position Title:</i> Director of Safety, Facilities<br>and Fleet | RobertD@LifeROOTSN<br>M.org   |
| <i>Annual<br/>Compensation:</i> \$58,600.00                        |                               |
| <i>Address:</i> 1111 Menaul Blvd. NE<br>ALBUQUERQUE, NM 87107      |                               |
| <hr/>  |                               |
| <i>Name:</i> Geiszler, Linda                                       |                               |
| <i>Position Title:</i> Board Secretary                             |                               |
| <i>Annual<br/>Compensation:</i> \$0.00                             |                               |
| <i>Address:</i> 1111 Menaul Blvd. NE<br>Albuquerque, NM 87107      |                               |
| <hr/>  |                               |
| <i>Name:</i> Guerra, Carol   |                               |
| <i>Position Title:</i> Past Chair                                  |                               |
| <i>Annual<br/>Compensation:</i> \$0.00                             |                               |
| <i>Address:</i> 1111 Menaul Blvd. NE<br>Albuquerque, NM 87107      |                               |
| <hr/>  |                               |
| <i>Name:</i> Mino, Rakotoarijaona                                  | 255-5501                      |
| <i>Position Title:</i> Director of Human Resources                 | MinoR@LifeROOTSnm.<br>org     |
| <i>Annual<br/>Compensation:</i> \$50,000.00                        |                               |
| <i>Address:</i> 1111 Menaul Blvd. NE<br>Albuquerque, NM 87107      |                               |
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| <i>Name:</i> Molina, Matthew                                       | 255-5501                      |
| <i>Position Title:</i> Director of Contracts                       | MatthewM@LifeROOTS<br>nm.org  |
| <i>Annual<br/>Compensation:</i> \$65,100.00                        |                               |
| <i>Address:</i> 1111 Menaul Blvd. NE<br>Albuquerque, NM 87107      |                               |
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| <i>Name:</i> Ortega, Angela  | 255-5501                      |

*Position Title:* Director of Community Services

AngelaO@LifeROOTSnm.org

*Annual*

*Compensation:* \$60,000.00

*Address:* 1111 Menaul Blvd. NE  
Albuquerque, NM 87107

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*Charity Individuals:*

*Name:* Padilla, Sylvia

255-5501

*Position Title:* Director of Quality assurance

sylviap@LifeROOTSnm.org

*Annual*

*Compensation:* \$36,881.00

*Address:* 1111 Menaul Blvd. NE  
Albuquerque, NM 87107

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*Name:* Salazar, Catherine

*Position Title:* Past Chair

*Annual*

*Compensation:* \$0.00

*Address:* 1111 Menaul Blvd. NE  
Albuquerque, NM 87107

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*Name:* Saldyt, Myron "Sal"

*Position Title:* Board Member

*Annual*

*Compensation:* \$0.00

*Address:* 1111 Menaul Blvd. NE  
Albuquerque, NM 87107

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*Name:* Silva, Maggie

*Position Title:* Board Member

*Annual*

*Compensation:* \$0.00

*Address:* 1111 Menaul Blvd. NE  
Albuquerque, NM 87107

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*Name:* Strickler, Leslie

*Position Title:* Chairman of the Board

*Annual*

*Compensation:* \$0.00

*Address:* 1111 Menaul Blvd. NE  
Albuquerque, NM 87107

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*Name:* Vigil, Jeanne

*Position Title:* Board Treasurer

*Annual*

*Compensation:* \$0.00

*Address:* 1111 Menaul Blvd. NE  
Albuquerque, NM 87107

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*Responsibilities:*

*Individuals who are authorized to sign checks:* Cates, Kathleen

President and CEO

*Individuals who are authorized to sign checks:* Dal Porto, Dawn Board Member  
Guerra, Carol Past Chair  
Mino, Rakotoarijaona Director of Human Resources  
Strickler, Leslie Chairman of the Board  
Vigil, Jeanne Board Treasurer

*Individuals who are responsible for fund raising:* Cates, Kathleen President and CEO  
Strickler, Leslie Chairman of the Board

*Individuals who are responsible for the distribution of funds:* Carpenter, Cynthia Chief Financial Officer  
Cates, Kathleen President and CEO

*Individuals who have custody of financial records:* Carpenter, Cynthia Chief Financial Officer

*Individuals who have custody of funds:* Cates, Kathleen President and CEO

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*Accountant/Auditor:*

*Person Name:* Lewis, Barbara  
*Job Title:* Audit Shareholder  
*Company:* Atkinson & Co.  
*Address:* 6501 Americas Pkwy NE  
Suite 700  
Albuquerque, NM 87110  
*Phone Number:* 843-6492  
*Fax Number:* 843-6817  
*E-Mail Address:* blewis@atkinsoncpa.com

*Person Authorized to Receive  
Service of Process:*

*Person Name:* Cates, Kathleen  
*Job Title:* President and CEO  
*Company:* LifeROOTS, Inc.  
*Address:* 1111 Menaul Blvd. NE  
Albuquerque, NM 87107  
*Phone Number:* 255-5501  
*Fax Number:* 255-9971  
*E-Mail Address:* Kathleenc@LifeROOTSnm.org

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*Professional Fundraisers:*

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*Question and Answer:*

*Q: Has organization or any of its officers, directors, employees or fund raisers ever been enjoined or otherwise prohibited by a government agency/court from soliciting?*

A: No

Q: *Has organization or any of its officers, directors, employees or fund raisers had its registration been denied or revoked?*

A: No

Q: *Has organization or any of its officers, directors, employees or fund raisers ever been the subject of a proceeding regarding any solicitation or registration?*

A: No

Q: *Has organization or any of its officers, directors, employees or fund raisers ever entered into a voluntary agreement of compliance with any government agency or in a case before a court or administrative agency?*

A: No

Q: *Has organization or any of its officers, directors, employees or fund raisers registered with or obtained exemption from any state or agency?*

A: No

Q: *Has organization or any of its officers, directors, employees or fund raisers solicited funds in New Mexico?*

A: Yes           Capital campaign  
                    Place to donate on web site  
                    Mail  
                    Annual Pi(e) Event March 12th

Q: *Are any of the organization's officers, directors, trustees or employees related by blood, marriage, or adoption to: (a) any other officer, director, trustee or employee OR (b) any officer, agent, or employee of any fundraising professional firm under contract to the organization OR (c) any officer, agent, or employee of a supplier or vendor firm providing goods or services to the organization?*

A: No

Q: *Does the organization or any of its officers, directors, employees, or anyone holding a financial interest in the organization have a financial interest in a business described in (b) or (c) in previous question OR serve as an officer, director, partner or employee of a business described in (b) or (c) in previous question?*

A: Yes           Carol Guerra, board member, is an owner of All-Star Insurance, 2625 Pennsylvania NE, Ste 710, Albuquerque, NM 87110.  
                    Jeanne Vigil, board member, is an employee/Vice-President of US Bank, 7900 Jefferson St. NE, Albuquerque, NM 87109.

Q: *Have any of the organization's officers, directors, or principal executives ever been convicted of a misdemeanor or felony?*

A: No

Q: *Does the organization receive financial support from other non-profit organizations (foundations, public charities, combined campaigns, etc.)?*

A: Yes  
                    Anderson Charitable Foundation

Q: Does the organization share revenue or governance with any other non-profit organization?

A: No

Q: Does any other person or organization own a 10% or greater interest in your organization OR does your organization own a 10% or greater interest in any other organization?

A: No

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|                               |           |           |
|-------------------------------|-----------|-----------|
| Applied For Tax Exempt:       | Yes       | 2/28/1963 |
| Granted Tax Exempt:           | Yes       | 2/28/1963 |
| IRS Section:                  | 501(c)(3) |           |
| Tax Exempt Ever Denied:       | No        |           |
| Tax Exempt Ever Revoked:      | No        |           |
| Tax Exempt Ever Modified:     | No        |           |
| Contributions Tax Deductible: | Yes       |           |

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*Banks:*

US Bank  
1-800-673-3555  
P. O. Box 1800  
Saint Paul, MN 55101-0800

Bank of the West  
1-800-488-2265  
P.O. Box 2830  
Omaha, NE 68103-2830

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## Annual Financials

|  |                |
|--|----------------|
| <i>IRS Document Filed:</i>                         | 990            |
| <i>Total Contributions:</i>                        | \$130,373.00   |
| <i>Total Gross Revenue:</i>                        | \$6,987,028.00 |
| <i>Total Expenses:</i>                             | \$7,406,628.00 |
| <i>Program Services Expenses:</i>                  | \$6,237,299.00 |
| <i>Management General Expenses:</i>                | \$1,062,903.00 |
| <i>Fundraising Expenses:</i>                       | \$106,426.00   |
| <i>Beginning of Year Net Assets:</i>               | \$2,490,449.00 |
| <i>End of Year Net Assets:</i>                     | \$1,905,921.00 |
| <i>Gross Professional Fundraising Collections:</i> | \$0.00         |
| <i>Net Professional Fundraising Collections:</i>   | \$0.00         |

Tax Year 2019 - fiscal period beginning 7/1/2019 and ending 6/30/2020

*Registration Submitted By:*

Hayden, Michelle  
Finance Director

505-314-8528  
michelleh@liferootsnm.org